



Audit and Risk Management Committee

Date: TUESDAY, 28 JANUARY 2020
Time: 2.00 pm
Venue: COMMITTEE ROOMS, 2ND FLOOR, WEST WING, GUILDHALL

Members:

Alderman Ian Luder (Chairman)	Marianne Fredericks (Ex-Officio Member)
Alexander Barr (Deputy Chairman)	Alderman John Garbutt
Hilary Daniels (Deputy Chairman)	Kenneth Ludlam (External Member)
Randall Anderson	Paul Martinelli
Alderman Nick Anstee	Caroline Mawhood (External Member)
Chris Boden	Jeremy Mayhew (Ex-Officio Member)
Deputy Jamie Ingham Clark (Ex-Officio Member)	Andrien Meyers
Anne Fairweather	John Petrie

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Lunch will be served in Guildhall Club at 1:15PM
NB: Part of this meeting could be the subject of audio or video recording

John Barradell
Town Clerk and Chief Executive

AGENDA

Part 1 - Public Agenda

1. **APOLOGIES**
2. **MEMBERS' DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA**
3. **MINUTES OF THE PREVIOUS MEETING**
To agree the public minutes and non-public summary of the meeting held on 19 November 2019.

For Decision
(Pages 1 - 8)

4. **OUTSTANDING ACTIONS OF THE COMMITTEE**
Member are asked to note the Committee's Outstanding Actions List.

For Information
(Pages 9 - 10)

5. **COMMITTEE WORK PROGRAMME**
Member are asked to note the Committee's Work Programme.

For Information
(Pages 11 - 12)

Governance

6. **MEMBERSHIP TERMS FOR APRIL 2020 COMMITTEE APPOINTMENTS - TO FOLLOW**
Report of the Town Clerk & Chief Executive.

For Decision

External Audit

7. **EXTERNAL AUDIT PROCUREMENT: NEXT STEPS**
Report of the Chamberlain.

For Decision
(Pages 13 - 20)

Internal Audit

8. **2020/21 DRAFT INTERNAL AUDIT PLAN**
Report of the Head of Audit and Risk Management.

For Decision
(Pages 21 - 32)

9. **INTERNAL AUDIT RECOMMENDATIONS UPDATE**

Report of the Head of Audit and Risk Management.

For Decision
(Pages 33 - 50)

Risk Management

10. **CORPORATE RISK UPDATE**

Report of the Chamberlain.

Appendix 3 found in non-public agenda item 19.

For Decision
(Pages 51 - 122)

11. **DEEP DIVE CR21: AIR QUALITY**

Report of the Director of Markets and Consumer Protection.

For Information
(Pages 123 - 144)

12. **CITY OF LONDON POLICE FREEDOM OF INFORMATION REQUESTS UPDATE**

Report of the Commissioner of Police.

For Information
(Pages 145 - 150)

13. **RESOLUTION OF THE POLICE AUTHORITY BOARD MEETING**

Members are asked to note the resolution of the Police Authority Board meeting of 28 November 2019 and consider the recommendation.

For Information
(Pages 151 - 152)

14. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

15. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**

16. **EXCLUSION OF THE PUBLIC**

MOTION, that – under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part I of the Schedule 12A of the Local Government Act.

Item	Paragraph
17-19, 22	3
23	1-3

For Decision

Part 2 - Non-Public Agenda

17. **NON-PUBLIC MINUTES OF THE PREVIOUS MEETING**

To agree the non-public minutes of the previous meeting held on 19 November 2019.

For Decision
(Pages 153 - 154)

18. **DEEP DIVE CR23: POLICE FUNDING**

Report of the Police Authority Treasurer.

For Information
(Pages 155 - 158)

19. **APPENDIX 3: CORPORATE RISK UPDATE**

Non-public appendix to be read in conjunction with the report of the Chamberlain, Corporate Risk Update, at agenda item 11.

For Information
(Pages 159 - 162)

20. **NON-PUBLIC QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

21. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED**

Part 3: Confidential

22. **CONFIDENTIAL MINUTES**

To agree the confidential minutes of the previous meeting held on 19 November 2019.

For Decision

23. **INTERNAL AUDIT RESOURCES**

Report of the Head of Audit and Risk Management.

For Information

24. **CONFIDENTIAL QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

25. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE COMMITTEE AGREE SHOULD BE CONSIDERED DURING CONFIDENTIAL SESSION**